

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicants: Jingrong Jean CUI et al.

Title: 4-ARYL SUBSTITUTED INDOLINONES

Appl. No.: Unassigned

Filing Date: 12/16/2003

Examiner: Unassigned

Art Unit: 1624

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 10/023,488, filed 12/20/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

*Michael M. Nihon*  
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Date Dec 16, 2003

By \_\_\_\_\_

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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	Unassigned
Date Submitted: December 15, 2003 (use as many sheets as necessary)				Filing Date	12/16/2003
				First Named Inventor	Jingrong Jean CUI
				Group Art Unit	1614
				Examiner Name	Unassigned
Sheet	1	of	1	Attorney Docket Number	034536-0905

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
A1	5,792,783	A		Tang et al.	08/11/1998	
A2	6,114,371	A		Tang et al.	09/05/2000	
A3	6,147,106	A		Hirth et al.	11/14/2000	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
A4	WO	98 50356	A		Hirth	11/14/1998		
A5	WO	99 61422	A		Sugen Inc.	12/02/1999		
A6	WO	00 35908	A		F. Horrmann-La Roche AG	06/22/2000		
A7	WO	00 35909	A		F. Hoffmann-La Roche AG	06/22/2000		
A8	WO	01 49287	A		Li	07/12/2001		
A9	WO	01 94312	A		Tang	12/13/2001		
A10	WO	02 02551	A		Cui	01/10/2002		
A11	WO	00 08202	A		Miller	02/17/2000		
A12	WO	94 03427			Dobrusin et al.	02/1994		
A13	WO	96 40116			Tang et al.	12/1996		
A14	WO	2001/060814			Tang et al.	08/2001		
A15	WO	2001/037820			Shenoy et al.	05/2001		

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A16	Chem. Abstract DN 135:195497, pages 121-130 of Search for US Sr. NO. 10023488.12 = WO2001060814.	
	A17	Chem. Abstract DN 2001037820, pages 131-139 of search for US Sr. NO. 10023488.12 = WO2001037820.	
	A18	Chemical Abstract DN 133:43433, also cited as WO 2000035909.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.